

# PALFINGER AG

## 1. KEY DATA

COUNTRY	<b>Austria</b>	
INDUSTRY	<b>Industrials</b>	
SECTOR	<b>Industrial Goods</b>	
EXCHANGE	<b>Vienna</b>	
ISIN / TICKER	<b>AT0000758305 / PAL</b>	
MARKET CAP	<b>896 Mio. EUR</b>	9/2015
TOTAL ASSETS	<b>1.130 Mio. EUR</b>	2014
TURNOVER	<b>1.063 Mio. EUR</b>	2014
EMPLOYEES	<b>8.893</b>	2014
FISCAL YEAR	<b>1.1. – 31.12.</b>	
ADDRESS & WWW	<b>A-5101 Berghheim Lamprechtshausener Bundes- straße 8 www.palfinger.com</b>	

## 2. EXCLUSIONARY SCREENS

ARMAMENT	<b>No</b>	NUCLEAR ENERGY	<b>No</b>
GMO	<b>No</b>	ADDICTIVE DRUGS	<b>No</b>
GAMING	<b>No</b>	OTHERS	<b>No</b>

## 3. STAKEHOLDER & PRODUCT SCREENS

EMPLOYEES	<b>A</b>	PRINCIPLES & STRATEGIES	<b>A</b>
SOCIETY	<b>A</b>	MGMT.SYSTEMS & ORGANISATION	<b>A+</b>
CUSTOMERS	<b>A</b>	PRODUCTS & SERVICES	<b>B+</b>
MARKET PARTNERS	<b>A-</b>	PROGRAMMES, ACTIVITIES & ACHIEVEMENTS	<b>B+</b>
INVESTORS	<b>A-</b>		
ENVIRONMENT	<b>B</b>		

## 4. OVERALL SUSTAINABILITY RATING

RATING	A+	A	<b>A-</b>	B+	B	B-	C+	C	C-	EX
INDICATIVE RATING	a			b			c			NR
	ab		bc		ba					

PREVIOUS RATING **B+ 6/2014**

DATA RATE **71%**

## 5. PROFILE

Palfinger AG, headquartered in Austria, is a leading international manufacturer of hydraulic lifting, loading and handling systems.

The group comprises more than 40 companies and a global sales and service network. Major product is the truck mounted knuckle-boom crane, in which the company is the world market leader. Other important segments are hookloaders, timber-, recycling- and marine offshore cranes. Production plants are located in Europe, USA, Canada, Brazil and China. Main markets are Europe and North America; with increasing importance in Latin America, Middle and Far East, and Asia.

Majority owner is the Palfinger Family with a 59% stake. 10% are held by the SANY Group, 1% by the Palfinger AG. The remaining 30% are free float.

Exclusionary screens relating to sustainability are not affected.

The company has defined comprehensive explicit principles and strategies concerning ethics, corporate social responsibility and stakeholder orientation.

Comprehensive management systems (certified acc. to ISO 9001, ISO 14001, EMAS and OHSAS 18001) exist for all essential areas and stakeholders.

Programmes, activities and achievements are widely in accordance with own principles and goals and general requirements to a sustainable corporation. An integrated report has been published.

The company's products are highly focused on quality, safety and environmental sustainability. The impact on other stakeholders is more or less neutral.

Palfinger is member of the VÖNIX Austrian Sustainability Index in 2015/2016.



This report is provided by rfu (Reinhard Friesenbichler Unternehmensberatung / Business Consultancy). The rfu Sustainability Rating Methodology is based on about 100 criteria / 400 indicators for studying and measuring a company's sustainability performance. The first layer of the research process is formed by the exclusionary screens (Table 2). Significant activities in one or more of these areas lead to exclusion (EX). The second layer is formed by the stakeholder & product screens, which are structured in line with the stakeholder model and the management pyramid (Table 3). The evaluation is based on a weighting system, which aggregates the individual grades, differentiated by industry / sector and other structural data, to form an overall rating (Table 4) on a nine steps scale from A+ ("innovative") to C- ("regressive"). If the data rate (% of relevant criteria that were appraisable) is too low for a detailed rating, an indicative rating on a gross scale may be deduced. This is shown by small characters (a, ab, ...). If the data rate is absolutely insufficient, this leads to an NR ("No Rating"). Sources of information are corporate publications (annual and sustainability report, website, etc.), individual sources (questionnaire, direct contact) and others (media, experts, databases, etc.).

This report is provided for information purposes only and is no recommendation for the purchase or sale of the securities of the companies rated. The information used is based on sources that are regarded as being reliable and have been chosen to the best of our knowledge and belief. The rating is based on subjective models and interpretations of the persons entrusted with the analyses and on the knowledge available at the editorial deadline. rfu will not assume any liability for the correctness, completeness and accuracy of the information and evaluations contained and reserves to make amendments or supplements at any time. rfu is the owner of the present report. Any complete or partial publication or passing on to third parties in any other way requires written consent.



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