

PALFINGER AG

1. KEY DATA

COUNTRY	Austria	
INDUSTRY	Industrials	
SECTOR	Industrial Goods	
EXCHANGE	Vienna	
ISIN / TICKER	AT0000758305 / PAL	
MARKET CAP	1.429 mil EUR	7/2017
TOTAL ASSETS	1.536 mil EUR	2016
TURNOVER	1.357 mil EUR	2016
EMPLOYEES	9.580	2016
FISCAL YEAR	1.1. – 31.12.	
ADDRESS & WWW	A-5101 Bergheim Lamprechtshausener Bndstr.8 www.palfinger.com	

2. EXCLUSIONARY SCREENS

ARMAMENT	No	NUCLEAR ENERGY	No
GMO	No	ADDICTIVE DRUGS	No
GAMING	No	OTHERS	No

3. STAKEHOLDER & PRODUCT SCREENS

EMPLOYEES	A	PRINCIPLES & STRATEGIES	A
SOCIETY	A-	MGMT.SYSTEMS & ORGANISATION	A
CUSTOMERS	A-	PRODUCTS & SERVICES	B
MARKET PARTNERS	B+	PROGRAMMES, ACTIVITIES & ACHIEVEMENTS	B+
INVESTORS	A-		
ENVIRONMENT	B		

5. PROFILE

Palfinger AG, headquartered in Austria, is a leading international manufacturer of lifting, loading and handling systems on land and at sea.

The Palfinger group consists of numerous subsidiaries and has an extensive global sales and service network in over 130 countries across five continents. Production sites are located in Europe, North and South America and Asia. Main markets are Europe, North America and – to a lesser extent - the Middle and Far East region. Core product is the Loader Crane, in which the company is world market leader.

Majority owner is the Palfinger Family with a 59% stake. A 10% stake is hold by SANY Group. The remaining 31% are free float.

Exclusionary screens relating to sustainability are not affected, but company's products are provided to very sensitive business sectors (e.g. Oil & Gas, Coast Guard)

The company has defined comprehensive explicit principles and strategies concerning ethics, corporate social responsibility and stakeholder orientation. Nevertheless, the strategy towards marine business is viewed critically concerning sustainability.

Comprehensive management systems (widely certified acc. to ISO 9001, partly certified acc. to ISO 14001, ISO 50001 and OHSAS 18001) exist for all essential areas and stakeholders.

Programmes, activities and achievements are widely in accordance with own principles and goals and general requirements to a sustainable corporation. An integrated report has been published.

The company's products are essential for the quality of life in modern societies, but are partly applied (increasing extent) in non-sustainable sectors (e.g. Oil & Gas).

Palfinger AG is member of the VÖNIX Austrian Sustainability Index in 2017/2018.



4. OVERALL SUSTAINABILITY RATING

RATING	A+	A	A-	B+	B	B-	C+	C	C-	EX
INDICATIVE RATING	a			b			c			NR
	ab				bc					
	ba									

PREVIOUS RATING **A- 6/2016**

DATA RATE **67%**

This report is provided by rfu (Reinhard Friesenbichler Unternehmensberatung / Business Consultancy). The rfu Sustainability Rating Methodology is based on about 100 criteria / 400 indicators for studying and measuring a company's sustainability performance. The first layer of the research process is formed by the exclusionary screens (Table 2). Significant activities in one or more of these areas lead to exclusion (EX). The second layer is formed by the stakeholder & product screens, which are structured in line with the stakeholder model and the management pyramid (Table 3). The evaluation is based on a weighting system, which aggregates the individual grades, differentiated by industry / sector and other structural data, to form an overall rating (Table 4) on a nine steps scale from A+ ("innovative") to C- ("regressive"). If the data rate (% of relevant criteria that were appraisable) is too low for a detailed rating, an indicative rating on a gross scale may be deduced. This is shown by small characters (a, ab, ...). If the data rate is absolutely insufficient, this leads to an NR ("No Rating"). Sources of information are corporate publications (annual and sustainability report, website, etc.), individual sources (questionnaire, direct contact) and others (media, experts, databases, etc.).

This report is provided for information purposes only and is no recommendation for the purchase or sale of the securities of the companies rated. The information used is based on sources that are regarded as being reliable and have been chosen to the best of our knowledge and belief. The rating is based on subjective models and interpretations of the persons entrusted with the analyses and on the knowledge available at the editorial deadline. rfu will not assume any liability for the correctness, completeness and accuracy of the information and evaluations contained and reserves to make amendments or supplements at any time. rfu is the owner of the present report. Any complete or partial publication or passing on to third parties in any other way requires written consent.



sustainability · research · consulting

Mag. Reinhard Friesenbichler
Unternehmensberatung
A-1060 Vienna, Loquaipplatz 13/10, www.rfu.at
office@rfu.at, Tel. +43(0)1 7969999-0, Fax -9